

A man with short dark hair and a beard, wearing a white dress shirt and a dark tie, is sitting at a desk and smiling while looking at a laptop. The background is a blurred office setting with windows and a framed picture on the wall.

:RESOLVER

Risk Management, Audit and Compliance Made Simple

Gone are the days when inefficient and outdated solutions slow you down.
Meet Resolver Core: Intuitive cloud-based software with applications for risk management, internal audit, internal control and compliance – all on a single, integrated platform.

Enterprise Risk Management

Do more with less. With its intuitive interface, powerful reporting, automated workflows, and easy implementation, Resolver's Enterprise Risk Management application is a tool your risk owners and the board will love.

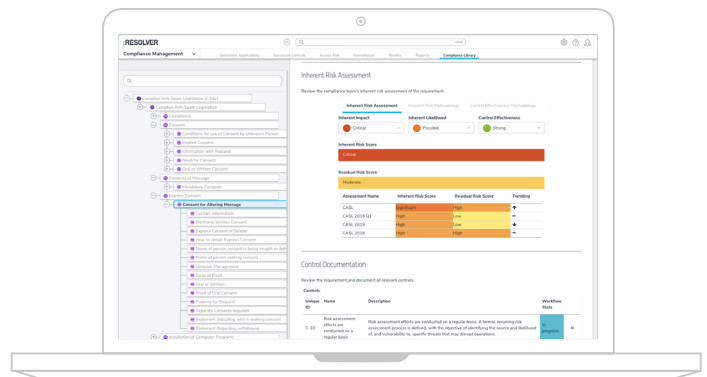


What you'll get:

- Transform risk data into real-time dashboards, heat maps, risk register matrices, graphs and more – exportable at the click of a button.
- Plan and perform efficient risk assessments with powerful automation, including task alerts and reminders to your risk owners - no passive-aggressive emails needed!
- Access an organization-wide portfolio of risks for a comprehensive understanding of risk impact and empowers your team to make data-driven decisions.
- Follow a risk assessment methodology aligned to globally-accepted principles and guidelines, including ISO 3100 and/or COSO ERM.

Compliance

Your organization needs to be compliant, why make it complicated? Resolver's Compliance application helps you easily organize, manage and keep up with regulatory requirements for efficient and comprehensive compliance.



Simplify the process and:

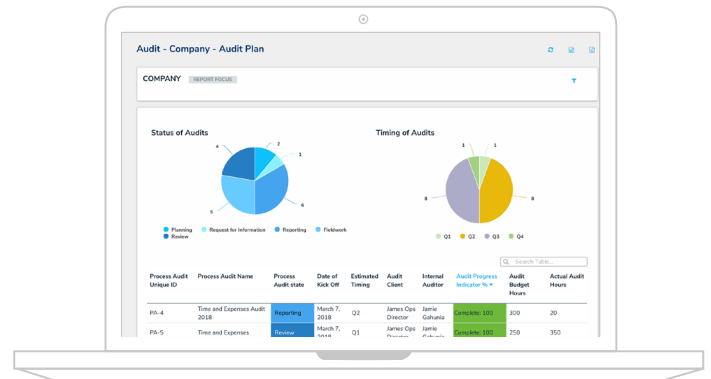
- Automate emails, alerts, reminders, and almost any compliance task with workflow to keep your projects and control owners on track.
- Create powerful, regulator-ready reports and customizable dashboards that aggregate risk information to drill down into critical gaps, high-risk areas and overall health of compliance.
- Access a fully configurable solution to support the framework, content and regulations that apply to your organization and industry.
- Monitor and assess ethical and legal risks with compliance monitoring and test prioritization.

Internal Audit

Kiss your spreadsheets and text documents goodbye. Get insight into your organization's high risk areas with Resolver's Internal Audit application.

What you can do:

- Streamline the audit process with powerful workflow and issue-tracking tools, so you can focus on delivering strategic insights, not reminder emails.
- Easily manage auditees and their documents with an information request portal that centralizes audit information, requests and communication in one place.
- Provide real-time updates and final audit reports to the Audit Committee & Board with customizable dashboards and reports that pull together project status, findings, issues and priorities.



Internal Control

Resolver's out-of-the-box application for Internal Control is intuitive, easy to use and aligned to the COSO Internal Control Framework and PCAOB audit standards.

Manage SOX compliance and:

- Get a real-time status of key activities and issues across your entire SOX (or C-SOX) program with configurable, in-app reporting.
- Streamline certifications with the power of automation. Send email notifications to your control or process owners with the information they need to validate controls.
- Speed through approvals with templates for testing, issues, certifications and pre-configured approval processes.

Quarterly Process Certification

Process Name: Accounts Payable
Time Period: 2018 - Q2
Process Owner: Business Unit London

# Total Controls	# Effective	# Not Effective
10	8	2

[SHOW ME TESTING DETAILS](#)

Certification and Sign Off

I Certify:

1. The signing officer has reviewed the report;
2. Based on this officer's knowledge, the report does not contain any untrue statement of a material fact or omit to state a material fact necessary in order to make the statements made, in light of the circumstances under which such statements were made, not misleading;
3. Based on such officer's knowledge, the financial statements, and other financial information included in the report, fairly present in all material respects the financial condition and results of operations of the issuer as of and for the periods presented in the report;
4. The signing officers are responsible for establishing and maintaining internal controls;
5. The signing officers have disclosed to the issuer's auditors and the audit committee of the board of directors (or persons fulfilling the equivalent function).

A data-driven approach to Integrated Risk Management

Whether you work in risk, audit or compliance, your job is to make decisions based on cold hard facts. Those facts come from data, but where does that data come from?

Resolver's approach to managing risk is different. We believe in an integrated approach, that links incident information directly to your risks and regulations.

Our cloud platform provides a holistic view of your operational, financial, IT, security and regulatory risks, so you can make data-driven decisions to manage threats and opportunities. Discover what Integrated Risk Management can mean for you.

Want to learn more? Let's talk.

resolver.com | info@resolver.com | 1-888-891-5500