:RESOLVER

Regulatory Compliance Management

Resolver's RCM software and content solution leverages industry best practices and years of domain expertise in order to help Canadian financial institutions gain confidence in their E-13 program.

Gain Confidence In Your Understanding of the Ever-Changing Regulatory Landscape

Resolver's RCM software provides real-time updates on all legislative and regulatory updates, assuring you have the most up-to-date content at all times. Easily engage with the first line of defense to ensure that they can execute risk assessments, manage risks, and remediate regulatory gaps. Leverage an integrated platform for your compliance, risk, and audit functions to ensure efficient collaboration and information sharing across the three lines of defense. Gain confidence in your ability to deliver an opinion on the adequacy of controls within the organization. Build your annual compliance plan to understand the strategic priorities for the upcoming year and ensure you have the appropriate resources in place to be successful.

There is no "one size fits all" compliance tool, which is why Resolver's Compliance software is structured proportionally to the size and maturity of your organization.

Real Time Compliance

Ensuring that the compliance team and business managers are kept up to date on the constantly evolving regulatory requirements and expectations is one of the key challenges for a compliance program. Resolver has partnered with the Canadian Compliance Group, to provide up-to-date regulatory content for Canadian financial institutions. Each framework has been curated with a financial institution's various lines of business in mind. The content has been distilled down and translated into plain language to ensure maximum value for end users.
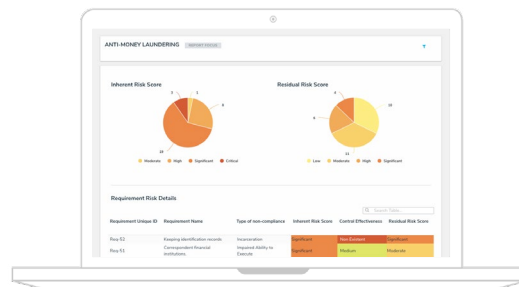
Maintain Independent Oversight

Resolver's Regulatory Compliance Management program is built to support an OSFI E-13 compliance program. The program incorporates the three lines of defense and ensures all parties are able to accomplish their tasks in a more efficient manner. The first line can take ownership of the applicable risk and requirements throughout the organization. The compliance team maintains a level of independence by: monitoring the organization's risk tolerance, uncovering gaps and vulnerabilities, and supporting the development of processes and controls to mitigate risk. The information can easily be extracted or shared with regulators and auditors.

Confidently Deliver an Opinion on the Adequacy of Risk and Controls to the Board or Regulators

Resolver's Regulatory Compliance Management application allows the Chief Compliance Officer to demonstrate due diligence by leveraging a risk-based approach for compliance monitoring and testing. Information is easily rolled up into comprehensive reports and dashboards so that compliance professionals can communicate the effectiveness of their compliance program to the board or regulators.
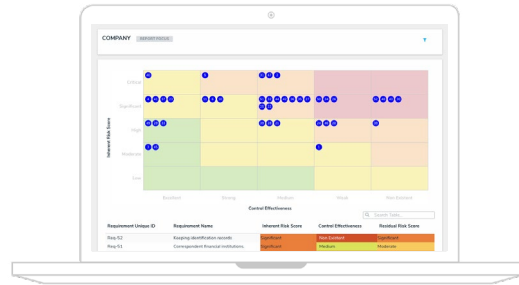
Available Content

Our platform, fully supported with regulatory content, is currently available for the following financial services sectors:

❱ Bank Framework

❱ Trust and Loan Framework

❱ Credit Union Framework (Federal and Provincial)

❱ Insurance Framework

❱ Securities Framework

Additional Frameworks

❱ Anti-Money Laundering

❱ Privacy (Federal and Provincial)

❱ Foreign Account Tax Compliance Act (FATCA)

❱ Common Reporting Standards (CRS)

❱ Accessibility for Ontarians with Disabilities (AODA)

❱ Canada Labour Code

❱ Canadian Anti-Spam Legislation (CASL)

❱ National Do Not Call

❱ Provincial Employment Standards

❱ Cyber Security Self-Assessment Framework

❱ Competition Act

❱ Lobbyist Framework

Want to learn more? Let's talk.

resolver.com | info@resolver.com | 1-888-891-5500

Protect What Matters™

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